



राज्य परियोजना कार्यान्वयन इकाई - उत्तर प्रदेश
State Project Implementation Unit – Uttar Pradesh
TECHNICAL EDUCATION QUALITY IMPROVEMENT PROGRAMME -III
(Aunit of Ministry of HRD, Government of India)

TEQIP

MINUTES OF 4th STATE
STEERING COMMITTEE
MEETING

Held On: 07-06-2019 :: Time – 11:30 Hrs

Venue: Rajkiya Polytechnic, Lucknow, UP



State Project Implementation Unit – Uttar Pradesh **TEQIP**
Technical Education Quality Improvement Program – III
(A Unit of Ministry of HRD, Government of India and NPIU)

**MINUTES OF 4th SSC MEETING HELD ON 07.06.2019 AT GOVERNMENT POLYTECHNIC,
LUCKONW, UTTAR PRADESH**

The 4th State Steering Committee was held at on 07.06.2019 at Government Polytechnic, Luckonw, Uttar Pradesh.

Following were present in the meeting:

- i) Sri Bhuvanesh Kumar, Secretary, Technical Education, Government of Uttar Pradesh -- Chairman
- ii) Mr Vijay Acharya, President, ASSOCHAM, UP – Member
- iii) Sri Vimal Kumar, Finance Controller Government of Uttar Pradesh – Member
- iv) Professor Satyaki Roy, IIT Kanpur, Member
- v) Dr Anil Kumar, State Project Administrator, SPIU-UP - Member Secretary

Special Invitee present:

- i) Sri Saroj Kumar, Special Secretary, Technical Education, Government of Uttar Pradesh
- ii) Prof.(Dr) P.M. Khodke, Central Project Advisor, NPIU, New Delhi

Following could not attend the Meeting due to their preoccupation:

- i) Prof Vinay Kumar Pathak, VC, Dr APJ Abdul Kalam Technical University – Member
- ii) Shri R C Rajput, Director, Department of Technical education – Member
- iii) Shri L K Jhunjhunwala, President, FICCI, Lucknow – Member
- iv) Ms Madhu Joshi, Special Secretary, Technical Education, Government of Uttar Pradesh - **Special Invitee**

SPIU officials namely Mr V K Singh, Nodal Officer (Finance), Mr Mahaveer Singh Naruka, Nodal Officer (Academic) and Mr Vineet Surana, Nodal officer (Procurement) along with Project Institutions Directors/Deans, TEQIP Coordinators and other functionaries of TEQIP- III) were also present in the meeting.

Item No. 4.1	Confirmation of Minutes of the 3rd SSC meeting held on 27-03-2019
	The Committee noted and confirmed the Minutes of the 3 rd SSC meeting held on 27-03-2019

Item No 4.2	Action Taken Report on decisions of 3rd SSC meeting held on 27-03-2019
	The Committee noted the Action taken on various items of the 3 rd SSC meeting held on 27-03-2019. The Committee further noted that the details of such items have been placed in substantive items where the committee members may deliberate and decide.

Item No 4.3	Status of DLI#1 – NBA Accreditation
SPIU Brief:	

The Committee noted that 25% allocation is based on **NBA Accreditation**.

The Committee noted that till 3rd SSC (27-03-2019), 18 Programs had applied for SAR and 03 Programs in MMMUT were Accredited. The target for June was given for SAR Upload Accreditation – 28 Programs against which 03 programs namely 01 (ME) in MMMUT, 01 (IT) in REC Banda and 01 (CE) in REC Azamgarh Uploaded the SAR.

After detailed deliberation the Committee noted the following:

- (i) BIET Jhansi – 04 Programs (CE, ECE, CSE, CHEM) – The institute informed that they will take all efforts to upload the SAR by June, 2019 end.
- (ii) HBTU Kanpur – 04 Programs (CHEM, EE, FOOD, BIOCHEM) – The institute informed that they will be uploading the SAR by June, 2019 end.
- (iii) KNIT Sultanpur – 05 (ME, CE, CSE, EE, EL) – The institute informed that PQ definitely Uploaded by 10th June 2019 and SAR Upload by 20th June 2019.
- (iv) REC Banda – 02 (EE, ME) - The institute informed that they will be uploading the SAR by June, 2019 end.
- (v) REC Bijnor – 01 (EE) – The institute informed that they will be uploading the SAR by June, 2019 end.
- (vi) *IET Faizabad, Ayodhaya - 04 (ECE, CSE, ME, EE) – The Committee noted that the institute is non committal on the target. Their preparedness on PQ and SAR is poor because of faculty issue and seriousness of the Institute. The institute was directed to bring the matter to the knowledge of the Vice Chancellor and immediately address the issue of faculty at senior level. The institute is required to submit their realistic plan within 20th June, 2019, the SAR upload by September, 2019*
- (vii) *UNSIET VBS PU Jaunpur – 02 (ME, EE) – The Committee noted that the institute is non committal on the target. Their preparedness on PQ and SAR is poor because of faculty issue and seriousness of the Institute. The institute was directed to bring the matter to the knowledge of the Vice Chancellor and immediately address the issue of faculty at senior level. The institute is required to submit their realistic plan within 20th June, 2019, the SAR upload by September, 2019. The institute informed that they can go for EC in place of ME due to availability of faculty in EC.*
- (viii) *IET BU Jhansi - 04 (ME, EL, CSE, BIOTECH) – The Committee noted that the institute is non committal on the target. Their preparedness on PQ and SAR is poor because of faculty issue and seriousness of the Institute. The institute was*

directed to bring the matter to the knowledge of Vice Chancellor and immediately address the issue of faculty at senior level. The institute is required to submit their realistic plan within 20th June, 2019, the SAR upload by September, 2019

The Committee noted that against a target of 26 Programs for SAR upload in June, 2019, U.P will be in a position to upload SAR for maximum of 16 Programs only. The target for UNSIET, IET BU, IET Ayodhaya was shifted to July-September 2019 Quarter.

The Committee directed that all institutions, targeting for SAR upload in July-September 2019 and those who are not in the position to meet the target of June, 2019 and have to go for deferred target of July-September, 2019 Quarter, shall submit their Micro Action Plan by 20th June, 2019.

SPIU was directed to meticulously plan for SPIU target for July-September, 2019, October-December, 2019, January-March, 2019. SPIU shall provide all facilitation/assistance to the Institutions to achieve their target. SPA shall arrange external experts, as the case may be, for the institution and their Programs to expedite their process of PQ and SAR preparation.

Item No 4.4	Status of DLI # 2 - Institutional Autonomy
This DLI corresponds to 18% of disbursement.	
The Committee noted the status of 04 earlier autonomous institutions as under:	
Item No 4.5	Status of DLI #3 – Board of Governors
<p>The Committee noted that this DLI corresponds to 14% of PLA (1.4 Crore for non autonomous and 2.1 Crore for Autonomous {UGC/MHRD} institutions) distributed over three years (4%, 5% and 5% for 2017-18, 2018-19, 2019-20 respectively).</p> <p>The Committee noted that at least 01 BoG must be conducted in each of the quarter of DLI Year from July to June (minimum 04 in a year) and the minutes shall be duly signed and upload on the Website. As per later guidelines by NPIU requirement of no of BOGs shall be counted from the date of constitution of BOG as per AICTE Norms. Compliance of required no of BOGs as on date by the Institutions are as under:</p> <ul style="list-style-type: none"> • Total Institutes – 16 • Compliance of required no of BoGs – 10 • Institutions likely to comply the requirements by 15th June 2019 – 03 (with 01 more BoG – BIET Jhansi, UPTTI Kanpur, MJP Rohilkhand) 	

Institutions likely to comply the requirements by 27th June 2019 – 03 (with 02 more BoGs – HBTU Kanpur, IET Agra, KNIT Sultanpur)

The Chairman directed the above institutions to adhere to the requirement and complete the balance BoGs to meet the NPIU norms definitely before 25th June, 2019 in any of the following mode:

- Physical mode
- By video conference
- By Circulation of Agenda through email.

It was informed that the Chairman of BOG may appoint a senior person of the Board to Chair the meeting if Chairman himself/herself is not available to Chair the meeting due to unavoidable circumstances to be put up on record.

It was also directed by the Chair that all minutes duly signed by Chairman and Member Secretary only shall be uploaded on the website latest by 26th June, 2019.

Item No 4.6	Status of DLI # 4 – Exit Examination
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Exit Examination' is one of ***Disbursement Linked Indicators (DLIs)***. Disbursements against this DLI during the project is 15%.

It has been targeted that all 100% students of each of the 16 1.1 project Institutions in Uttar Pradesh under go either GATE Training or Employability Training (ES) or both GATE and ES Training. The achievement of each of the Institutions for GATE Training/ES Training including the GATE result, placement are the Year 2018-19 and their preparedness for the Year 2019-20 was noted by the Committee.

The Chairman directed to the Institutions to follow the timeline of engaging the vendor (issuance of the PO) for GATE Training as well as for Employability Skill Training shall be completed by June, 2019 end as per the NPIU timeline.

It was explain to the institutions that they are free to engage the vendor from any of the modes – from among the NPIU vendors or by going through open selection process or otherwise in line with the various NPIU/SPIU communication issued in this regard.

Chairman also requested the institutions to keep track of the students who have passed from their colleges so that a database may be created which shall give a picture of the students opting for different careers or higher education for career enrichment

Item No 4.7	Status of DLI # 5 – Timeliness of allocations, and utilization; and (ii) expenditure recognition against the utilization.
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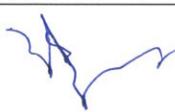
Total Project Life Allocation (PLA) for 16 Institutions and 01 ATU in U.P. is Rs. 205 Crores.

The Committee noted that the Project Life Period is left with only 16 months and total Expenditure against PLA has been only 54.28 Cr.(26.48 %). The Committee further noted that considering the slow progress in the Country overall, the World Bank and MHRD started close monitoring at Micro Level on month to month basis during the last one month 07 such monitoring and review meetings have already been conducted – on 01st May 2019 by World Bank and NPIU, Delhi, on 14th May 2019 by the World Bank at Lucknow, on 16th May 2019 by Additional Secretary (TE) at Delhi (for ATU), on 28th May 2019 and 29th May 2019 (02 separate meeting for separate set up institutions) by Additional Secretary (TE) at Delhi, on 3rd June 2019 by World Bank and NPIU at Delhi, on 4th June 2019 (review of SPAs) by Additional Secretary (TE) at Delhi. The Committee further noted that the above meeting/reviews apart from several review and monitoring conducting by SPIU.

Taking cognizance of the threat of downsizing or downgrading or early closure of the project and the efforts taken by NPIU, World Bank and MHRD to avoid the situation. The Committee noted that the State as a whole has meet the total expenditure target of minimum 55 % and procurement Expenditure target of minimum 75 % by mid-September, 2019.

The Chairman directed the institutions to speed up their efforts to meet the target of June, July and mid-September 2019 separately. SPA was directed to have close monitoring on expenditures and facilitate/assist the institutions by visiting the institutes himself or by Nodal officers, SPIU. Low performing institutes (on expenditure parameter) like BIET Jhansi, HBTU Kanpur, IET Lucknow, KNIT Sultanpur, REC Ambedkar Nagar, UPTTI Kanpur have to take extra efforts to achieve the targets.

Next JRM is expected in mid September 2019 and the project will be reviewed in holistic manner. Targets and Benchmarks for the review has been given for every institutions to achieve.

Item No 4.8	AICTE Mandate Implementation
The Committee noted that to improve teaching and learning, it is important to implement AICTE mandates in institution.	<ol style="list-style-type: none"> Induction Program Mandatory Internship Curriculum Revision through ICC MOOCs AICTE Model Curriculum Examination reforms
	

g. **Industry Institute Interface**

h. **Entrepreneurship and Innovation**

The Committee noted that University constituent Institutions namely IET Ayodhya, UNSIET Jaunpur, IET BU Jhansi, IET Agra and MJP Rohilkhand are yet to implement MOOCs in true spirit. The institutes were asked to inform the timeline to implement the same. SPA was directed to closely monitor and inform the status.

The Committee noted that to sensitize the AKTU and the Institutions, a workshop on Examination Reforms has already been planned for AKTU and all Autonomous Project Institutions with experts from Regulatory bodies, academics and Industry

The Committee appropriate effort taken at SPIU level for enhancing Employability Skill of Engineering graduates as well as other activities involving ISTE, IEI, FICCI, ASHOCHAM for proactive industry institute interface as well as for facilitating internship and placement.

Item No 4.9	ATU Mandate
Participation of ATUs in TEQIP-III is mainly to widen the impact of the project by spreading quality reforms envisaged in the project to the affiliated institutions including Private unaided institutions. Dr AJP Abdul Kalam Technical university, the ATU in Uttar Pradesh, has taken various initiatives for the implementation of ATU Mandate as required by TEQIP-III in the following field:	

- (i) Establishment of Research
- (ii) Development of Management Information System (MIS)
- (iii) Accreditation of eligible programs of affiliated institutions by NBA
- (iv) Promoting applications for Autonomy by UGC
- (v) Curriculum Revision
- (vi) Other activities

The Committee noted that the Performance of AKTU on all both the fronts - academic and procurement have been appreciated by MHRD, World Bank and NPIU. The performance audit score of AKTU is 1.61 which is highest in the Country among the 08 ATUs. AKTU is the only ATU in the Country which is likely to achieve the target of Academic activities and Procurements as well as total Expenditures before the cut off date of September 2019.

The Committee also noted the actions taken by the AKTU on each of the above field. In spite of good performance by AKTU, the Committee advice the University to further improve on both the fronts – procurement and academic so that he can become the role model for other ATUs.

Item No 4.10	Performance Audit and Mentoring
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The Committee noted that 2nd round of Mentoring activities for 1.1 institutions is complete by 31st May, 2019, the target date, except few who could not do so due to non availability of the mentors.

The Committee also noted the schedule of performance audit for 1.1 institutions to be complete during July to August, 2019. SPA will be making a formal communications with tentative timelines to all the 1.1 institutions and their performance auditors in next 07 days time.

Item - 4.11	SPIU-UP Action Plan for Quarter I : 2019-20
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The Committee deliberated and approved the action plan of SPIU as per following:

1. Trainings and workshop

- a. Examination reform workshop in Quarter – II/III
- b. NBA Accreditation Workshop in Q-II/III – other institutions affiliated to AKTU (other than 1.1 and 1.3) – 03 nos - through the Institutions in different geographical locations.
- c. Research Conclave to create an ecosystems of research and development in the Institutions.
- d. 3rd Review of performance of Twinning programme (joint meet of 1.1, 1.2 and 1.3 institutions
- e. Industry Institute interface
- f. Start up, Innovation and Entrepreneurship
- g. Environmental Management Framework (EMF) and Disaster Management Framework (DMF) and publication of monographs – Quarter I/II.

2. Review and Monitoring

- a. Review meeting at Special Secretary level, Technical Education.
- b. Review meetings with Project Institutions at the level of SPA at SPIU as well as at the institutions. For such visits to the institutions by SPA, he is only required to inform the CPA as well as Special Secretary in advance of visits to the institutions or to NPIU/AICTE/NBA as and when required - SPA to decide his visits based on the felt need and inform accordingly).
- c. Monitoring meetings by Nodal Officers and field visits as and when required (to be approved by SPA, as being followed).

3. Mentoring of Institutions.

4. Monitoring of Exit Examinations (GATE training, Employability Skill training and other activities as necessary)

5. NBA Accreditation

- a. All actions to be decided by SPIU and implemented, required for PQ, SAR preparation and upload, wherever required
- b. Expert visits, to be decided by SPIU, as per need and requirement of institutions

6. Hackathon for Uttar Pradesh.

7. Digitisation of Courses (B. Tech.) – one course as pilot project

8. Monitoring of DLIs and the Key Performance Indicators (KPI) of the Institutions

9. Monitoring of Procurement
 10. Financial Management and Auditing
 11. SPIU to prepare various Monographs and Reports on (i) NBA Accreditation process; (ii) Environmental Management, (iii) Examination Reforms, (iv) Disaster Management, (v) Good Practices in various institutions out of the TEQIP and non TEQIP activities.
 12. SELP program for the students in Project Institutions. Additionally, Executive Leadership Programs for the officials of the Government, SPIU, ATU and the Project Institutions as per norms and rates approved by MHRD for the recent 03 day Executive Program for NPIU

Upskilling the Employability through proactive intervention based on the outcome of Pilot Project been conducted at IET, Lucknow and AKTU affiliated Institutions.

Item No 4.12	Action Plan for Uttar Pradesh for Quarter - 1 (2019-20)
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The Committee noted the information furnished by SPIU that Quarter -1 Action Plan is under preparation. Action Plan duly approved by NPIU shall be placed to the next SSC for information.

Item No 4.13	Equity Action Plan
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The Committee noted that the institutions have prepared their Equity Action Plan and they need to inform the action taken by them

The Chairman instructed that:

- The institutions should hold remedial classes for weaker section of students for which a provisions are made under TEQIP-III
- All institutions to report the activities under taken by them to SPIU-UP.
- Good practices by institutions to be shared so that they can be replicated in other institutions

Item No 4.14	Status of Procurement by the 1.1 Institutions and ATU in the state of Uttar Pradesh
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The Committee noted that the total Procurement Allocation for 16 no of 1.1 institution and 01 ATU in U.P is Rs. 119 Crores. Against this till 30th May 2019 an Expenditure of Rs. 37 Crores (31.12 %) has been achieved. After various reviews at level of MHRD, World Bank and NPIU, the target for Uttar Pradesh has been fixed for more than 70% procurement Expenditure. The Expenditure/Target are noted as under:

S.No	Months	Total Cummulative Procurement Exp./Target (in Cr.)	% Total Cummulative Procurement Exp./Target (in Cr.)
1	By 30th April	32.75	27.52
2	By 30th May	37.00	31.12
3	By 30th June	54.20	45.51
4	Before 15th Sept	82.80	69.55

Item No 4.15	Financial Management, Internal Audit and Statutory Audit
The Committee noted the status of Internal and External Audit of SPIU (16 institutions and 01 ATU)	

Item No 4.16	Status of Workshops, Review meetings conducted by SPIU
The Committee noted.	

Item No. 4.17	Status of Travel and Tours of SPIU-UP officials
The Committee noted.	

Item No. 4.18	(a) Student's Excellence Learning Programme (SELP) by Art of Living
	(b) Executive Leadership Programme by AOL for the academic and Administrative officials involved with the implementation of the project (1.1 and 1.2 Institutions, Government officials and SPIU officials)
	The Committee noted.

Item No. 4.19	Upskilling Employability for enhancing placement
The committee noted that based on the decision of the presentation cum deliberation, detailed negotiation was done with the AUTOGRAM by a team of senior officials – Dr Anil Kumar, SPA, Dr Arun Kumar Tiwari, TPO IET, Mr Gopal Trivedi, Advisor, AKTU, Ms Neha Shrivastava, TPO, AKTU, Mr Girish Chhimwal, Consultant, AKTU. This committee finalised Pilot Project modalities as per following:	
1. Pilot Project in two institutions – IET Lucknow and AKTU affiliated institution separately 2. No of students to covered under the pilot – 600 @300 each institution 3. Period of Pilot Project : 03-04-2019 to 04-05-2019 Rates for the Pilot Project : Rs 600/- plus GST per student to be born by respective institutions under TEQIP-III 02 Pilot projects have been conducted – one at IET Lucknow and another at AKTU in their affiliated institutions.	

The Committee deliberated the issue and decided that based on the outcome of the pilot Projects efforts shall be taken for implementation for Project institutions in U.P to further improve the Employability Skill of Engineers.

Item No 4.20	Performance Benchmarks for 1.1 and 1.2 institutions
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The Committee noted the Performance benchmarks fixed separately for 1.1 institutions and ATU to be achieved by 15th September, 2019. The Chairman directed the institutions that they need to take planned actions on each of the Benchmarks to ensure that they achieve all.

Item No 4.21	Any other issue with permission of Chairman
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1. The Chairman asked if national comparison can be done so as to know the standing of Uttar Pradesh vis-a-vis other States in the country on achievement of DLIs. The CPA, NPIU assured to provide data of other states for various DLI's for reporting to the SSCs appropriately. Chairman directed SPA to place a comparison of UP v/s other states in the next SSC meeting.

There is no other issue to discuss, the meeting ended with thanks with the Chair.



(Dr Anil Kumar)

Member Secretary, SSC

Member Secretary, SSC
& SPA, SPIU-UP/TEQIP-III



(Bhuvanesh Kumar)

Chairman, SSC

Chairman, State Steering Committee
SPIU-UP/TEQIP-III